

**Declaration for Patent Application
English Language Declaration**

Attorney Docket No. A1148.0000/P006

First Name Inventor Dan A. Steinberg

COMPLETE IF KNOWN:

☒ Submitted with initial filing ☐ Submitted after initial filing (surcharge required 37 CFR 1.16(e))

Application No. Not Yet Assigned

Filing Date Concurrently Herewith

Group Art Unit Not Yet Assigned

Examiner Not Yet Assigned

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

OPTICAL ASSEMBLY FOR COUPLING WITH INTEGRATED OPTICAL DEVICES AND METHOD FOR MAKING

the specification of which

☒ is attached hereto

OR

☐ was filed on _____

as United States Application No. or PCT International Application No. _____

and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application.

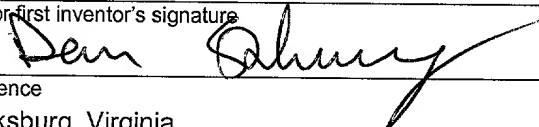
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign applications(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's right certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

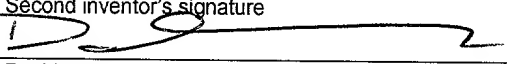
Prior Foreign Application(s)

			Priority Not Claimed	Certified Copy Attached	
				YES	NO
_____ (Number)	_____ (Country)	_____ (Filing Date)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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☐ Additional prior foreign applications are listed on a supplemental data sheet attached hereto.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Dan A. Steinberg	
Sole or first inventor's signature 	Date 11-12-01
Residence Blacksburg, Virginia	
Citizenship	
Mailing Address 410 Lee Street Blacksburg, Virginia 24060	

Full name of second inventor David W. Sherrer	
Second inventor's signature 	Date 11/12/01
Residence Blacksburg, Virginia	
Citizenship	
Mailing Address 3291 Deer Run Road Blacksburg, Virginia 24060	

Full name of third inventor
Mindaugas F. Dautartas

Third inventor's signature

Residence
Blacksburg, Virginia

Citizenship US

Mailing Address

2006 Sycamore Trail
Blacksburg, Virginia 24060

Date

11/12/01

Full name of fourth inventor, if any
Arden Jeantilus

Fourth inventor's signature

Residence
Blacksburg, Virginia

Citizenship

Mailing Address

1700 Foxhunt Lane, Apt. K
Blacksburg, Virginia 24060

Date

11/12/01

**POWER OF ATTORNEY OR
AUTHORIZATION OF AGENT**

Application Number	Not Yet Assigned
Filing Date	Filed Concurrently
First Named Inventor	Dan A. Steinberg
Title	OPTICAL ASSEMBLY FOR COUPLING WITH, etc.
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	A1148.0000/P006

I hereby appoint:

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Practitioner(s) named below:

Customer Number Bar Code

Name	Registration Number	Name	Registration Number
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Thomas J. D'Amico	28,371	Laurence E. Fisher	37,131
Donald A. Gregory	28,954	Ryan H. Flax	48,141
James W. Brady, Jr.	32,115	John C. Luce	34,378
Jon D. Grossman	32,699	William E. Powell, III	39,803
Mark J. Thronson	33,082	Patrick T. Skacel	47,948

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The above-mentioned Customer Number.

OR

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Practitioners at Customer Number

26086

Customer Number

OR

Customer Number Bar Code

☐Firm or
Individual NameMartin Meder
Haleos, Inc.

Address 3150 State Street

City Blacksburg

State

Virginia

Zip

24060

Country US

Telephone

(540) 553-3708

Fax

(540) 553-3717

I am the:

☐

Applicant/Inventor.

☒

Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

David W. Sherrer

Signature

[Signature]

Date

11/12/01

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below*.

☐

*Total of 1 forms are submitted.

Please type a plus sign (+) inside this box



PTO/SB/81 (02-01)

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(Supplemental Sheet)**

Attorney Docket No.

A1148.0000/P006

Name	Registration Number	Name	Registration Number
Gary L. Veron	39,057	Martin Meder	34,674
Mialeeka C. Williams-Bibbs	48,037	Dan A. Steinberg	45,129
Jeremy A. Cubert	40,399		
Gianni Minutoli	41,198		
Michael Bergman	42,318		
Mark E. Strickland	45,138		
Salvatore P. Tamburo	45,153		
Peter Veytsman	45,920		
Christopher S. Chow	46,493		